



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/GB99/00617 <b>(22) International Filing Date:</b> 11 March 1999 (11.03.99)  <b>(30) Priority Data:</b> 9805141.0      12 March 1998 (12.03.98)      GB 9817728.0      15 August 1998 (15.08.98)      GB  <b>(71) Applicant (for all designated States except US):</b> IMPERIAL CHEMICAL INDUSTRIES PLC [GB/GB]; Imperial Chem- ical House, Millbank, London SW1P 3JF (GB).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> CHISHOLM, Michael, Stephen [GB/GB]; 31 Sideling Tails, Yarm, Cleveland TS15 8HT (GB). SLARK, Andrew, Trevithick [GB/GB]; 2 Willow Road, Northallerton, North Yorkshire DL7 8RX (GB). SHERRINGTON, David [GB/GB]; 10 Hawthorn Avenue, Lenzie, Glasgow G66 4RA (GB). O'BRIEN, Niall [GB/GB]; 18 Tavistock Drive, Newlands, Glasgow G43 2SJ (GB).  <b>(74) Agents:</b> GIBSON, Sara, Hillary, Margaret et al.; ICI Group Intellectual Property, Wilton, P.O. Box 90, Middlesbrough, Cleveland TS90 8JE (GB).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments.</i>
<b>(54) Title:</b> POLYMER COMPOSITION  <b>(57) Abstract</b>  A method of preparing a branched polymer comprising the steps of mixing together a monofunctional vinylic monomer with from 0.3 – 100 % w/w of a polyfunctional vinylic monomer and from 0.0001 – 50 % w/w of a chain transfer agent and thereafter reacting said mixture to form a polymer. The resulting soluble branched polymers are useful as components of surface coatings and inks or as moulding resins.		

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Polymer composition

The present invention relates to a polymer composition, in particular to a branched polymer and a method of preparation therefor.

Branched polymers are polymer molecules of a finite size which are branched, often  
5 having many branches. Branched polymers differ from crosslinked polymer networks which tend towards an infinite size having interconnected molecules and which are generally not soluble. Branched polymers are usually soluble in solvents which dissolve analogous linear polymers but have the advantage that solutions of branched polymers are usually less viscous than solutions of the same concentration of the corresponding  
10 linear polymer having a similar molecular weight. Therefore solutions of branched polymers are easier to handle especially at high solids content and may be made using less solvent than solutions of linear polymers. For this reason, branched polymers are useful additives to solvent-based coatings and inks, for example and they also have many other applications. Additionally, branched polymers also have a lower melt viscosity than  
15 analogous linear polymers and are useful for improving melt processability in injection moulding, compression moulding, extrusion moulding or powder coatings.

Branched polymers may be made by a two-step process in which a linear polymer containing branching sites is subjected to a further polymerisation or modification step to form branches from the branching sites. The inherent complications of a two-step process  
20 may be unattractive and make the resulting branched polymer expensive to use. Alternatively a one-step process can be used in which a polyfunctional monomer is present to provide functionality in the polymer chain from which polymer branches may grow. However, a limitation on the use of conventional one-step processes is that the amount of polyfunctional monomer must be carefully controlled, usually to substantially  
25 less than about 0.5%w/w in order to avoid extensive cross-linking of the polymer and the formation of insoluble gels. It is very unusual to avoid crosslinking using this system, especially in the absence of a solvent as diluent and/or at high conversion of monomer to polymer.

GB-A-2294467 describes a branched polymethylmethacrylate polymer which has a  
30 molecular weight of 80,000 - 400,000 in which the molecular weight between the

branching points is between 30,000 and 1,000,000 which includes 0.05 - 0.2% of a polyfunctional monomer and < 0.5 mole % of a chain transfer agent.

US-A-5,767,211, published June 16 1998, describes the synthesis of multi-functional hyperbranched polymers by free-radical polymerisation of di- or tri-vinyl monomers in the presence of a chain transfer catalyst and a non-peroxide free radical initiator. The resulting polymers are oily, low Tg materials.

EP-A-103199 describes copolymers of t-butyl acrylate with 0.1-3% polyfunctional acrylate and 1-30% of functional comonomer made by solution polymerisation in the presence of a chain transfer agent. The functional comonomer provides an active cross-linking site used to form a coating composition crosslinked by condensation chemistry.

US-A-4880889 describes a pre-crosslinked soluble polymer containing 10-60% of OH-functionalised monomer, 5-25% of a monomer with at least 2 olefinically unsaturated double bonds and 15-82% of further monofunctional monomers. The polymer composition is made by a solution polymerisation process in organic solvent at a low polymerised solids content of about 50% in order to produce an ungelled copolymer, using >0.5% of a polymerisation regulator. The polymers are used in crosslinked coatings where the OH group is reacted with melamine-formaldehyde crosslinkers. US-A-4988760 and US-A-5115064 define similar compositions which include functionalised monomers having different cross-linkable groups which include carboxyl and isocyanate.

In a first aspect of the invention a method of preparing a branched polymer comprises the steps of mixing together a monofunctional monomer having one polymerisable double bond per molecule with from 0.3 - 100% w/w (of the weight of the monofunctional monomer) of a polyfunctional monomer having at least two polymerisable double bonds per molecule and from 0.0001 - 50% w/w (of the weight of the monofunctional monomer) of a chain transfer agent and optionally a free-radical polymerisation initiator and thereafter reacting said mixture to form a polymer. In this way a soluble branched polymer may be prepared by a simple one-step process.

In a second aspect of the invention, we provide a polymer comprising residues of a monofunctional monomer having one polymerisable double bond per molecule, from 0.3 - 100% w/w (of the weight of the monofunctional monomer) of a polyfunctional monomer having at least two polymerisable double bonds per molecule, from 0.0001 - 50% w/w (of

the weight of the monofunctional monomer) of a chain transfer agent and a polymerisation initiator.

For simplicity, a monomer having one polymerisable double bond per molecule will be referred to hereinafter as a monofunctional monomer (MFM) and a monomer having at  
5 least two polymerisable double bonds per molecule will be referred to as a polyfunctional monomer (PFM).

It is surprising that a soluble branched polymer may be prepared from a mixture containing a relatively large proportion of a polyfunctional monomer because such mixtures would normally be expected to produce an insoluble cross-linked polymer  
10 network. Significantly, the polymerisation can be performed in one-step in the absence of solvent and taken to high conversion of monomer to polymer (>90%) to yield a soluble branched polymer.

The polymerisation of the monomer mixture may be performed using any free-radical polymerisation method, e.g. solution, suspension, emulsion and bulk polymerisation  
15 methods may all be used. For many applications of the branched polymers of the invention, the material is required in solid form. For these applications, polymers made by solution polymerisation require the solvent to be removed before use. This increases the cost and it is difficult to remove all of the solvent providing deficiencies in utilisation of the polymer. Alternatively when the polymer is required for use in solution form, it is  
20 necessary to conduct the polymerisation in the solvent which is to be present in the end-use application if the step of isolating the polymer is to be avoided. Therefore it may be advantageous to produce the branched polymer by a non-solution method, e.g. suspension or bulk polymerisation. It is surprising that branched polymers may be successfully formed from polyfunctional monomers in a non-solution method because the  
25 formation of gels would be expected. US-A-4880889 teaches that special reaction conditions, including carrying out the polymerisation in solution at a relatively low solids content of about 50%, are required to obtain ungelled polymer.

Therefore in a further aspect of the invention, we provide a method of preparing a branched polymer by suspension polymerisation comprising  
30 (i) mixing together a monofunctional monomer having one polymerisable double bond per molecule with from 0.3 - 100% w/w (of the weight of the monofunctional monomer) of a

polyfunctional monomer having at least two polymerisable double bonds per molecule and from 0.0001 - 50% w/w (of the weight of the monofunctional monomer) of a chain transfer agent;

- (ii) dispersing the resulting mixture as a discontinuous phase in a continuous phase in which the monomers are relatively insoluble in the presence of a dispersing agent which is capable of maintaining the mixture of monomers as a discontinuous phase in the continuous phase;
- (iii) initiating polymerisation of the monomer mixture;
- (iii) maintaining the dispersion of monomer in continuous phase at a reaction temperature for sufficient time to enable the monomers to react to form a polymer; and
- iv) subsequently separating the dispersed phase containing the polymer from the continuous phase.

The continuous phase is normally water. Suitable dispersing agents are well known in the art and include modified cellulose polymers (e.g. hydroxy ethyl, hydroxy propyl, hydroxy propyl methyl), polyacrylic acid, polymethacrylic acid, partially and fully neutralised versions of these acids, poly vinyl alcohol, poly(vinyl alcohol/vinyl acetate) copolymers amongst others. The dispersion of monomers in the continuous phase is normally agitated at high speed throughout the polymerisation process to help keep the dispersion stable and to enable good heat transfer between the continuous phase and the dispersed droplets or particles. As the polymerisation reaction proceeds, the monomers in the dispersed phase react to form polymer which remains within the dispersed phase. The reaction temperature may vary according to the type of monomers and initiator which is used and is typically between 20 and 150 °C, for example in the range 50 - 120 °C. Suitable reaction temperatures are well known in the art.

- The monofunctional monomer may comprise any monomer which can be polymerised by a free-radical mechanism such as methacrylates and acrylates, styrene and derivatives thereof (styrenics), vinyl acetate, maleic anhydride, itaconic acid, N-alkyl (aryl) maleimides and N-vinyl pyrrolidone, vinyl pyridine, acrylamide, methacrylamide, N,N-dialkylmethacrylamides and acrylonitrile. Vinyl monomers, such as styrenics, acrylates and methacrylates, (meth)acrylamides and acrylonitrile are preferred monomers. Mixtures of more than one monofunctional monomer may be used to produce a random, alternating block or graft copolymer.

Examples of suitable monofunctional (meth)acrylate monomers include lower alkyl, i.e. C<sub>1</sub> to C<sub>20</sub> alkyl, (meth)acrylates, e.g. methyl (meth)acrylate, ethyl (meth)acrylate, propyl (meth)acrylate, n-butyl (meth)acrylate, iso-butyl (meth)acrylate, t-butyl (meth)acrylate, 2-ethyl hexyl (meth)acrylate, octyl (meth)acrylate or dodecyl (meth)acrylate. Additionally, cyclic alkyl monomeric species may be used such as cyclohexyl (meth)acrylate, isobornyl (meth)acrylate and dicyclopentenyl (meth)acrylate. Functional monomers such as methacrylic acid and acrylic acid, hydroxy alkyl methacrylates such as hydroxy ethyl (meth)acrylate, hydroxypropyl (meth)acrylate and hydroxybutyl (meth)acrylate, glycidyl (meth)acrylate, dialkyl aminoalkyl (meth)acrylates such as dimethyl aminoethyl (meth)acrylate, diethyl aminoethyl (meth)acrylate, dimethyl aminopropyl (meth)acrylate and diethyl aminopropyl (meth)acrylate. By (meth)acrylate, we mean that either the methacrylate or the analogous acrylate may be used.

By polyfunctional monomer, we mean a monomer which has at least two polymerisable double bonds per molecule. We also include in the term polyfunctional monomer reactive oligomers or reactive polymers or pre-polymers having at least two double bonds polymerisable via a free-radical mechanism. Examples of suitable bifunctional monomers include: ethylene glycol di(meth)acrylate, hexanediol di(meth)acrylate, tripropylene glycol di(meth)acrylate, butanediol di(meth)acrylate, neopentyl glycol di(meth)acrylate, diethylene glycol di(meth)acrylate, triethylene glycol di(meth)acrylate, dipropylene glycol di(meth)acrylate, allyl (meth)acrylate, divinyl benzene and derivatives thereof. Trifunctional examples include: tripropylene glycol tri(meth)acrylate, trimethylol propane tri(meth)acrylate, pentaerythritol tri(meth)acrylate. Tetrafunctional monomers such as pentaerythritol tetra(meth)acrylate and hexafunctional monomers, e.g. dipentaerythritol hexa(meth)acrylate may also be used. Optionally, the polyfunctional monomer may comprise a mixture of more than one polyfunctional compound.

The branched polymer may be formed using a reactive oligomer or reactive polymer or pre-polymer having at least two double bonds per molecule which are polymerisable via a free-radical mechanism as the, or one of the, polyfunctional monomers. We include such functional polymers and oligomers in the term "polyfunctional monomer" because the polymerisable functional groups enable the reactive oligomer or reactive polymer to polymerise into the growing polymer molecules in the same way as a simple polyfunctional monomer. Typical reactive oligomers include, but are not limited to, epoxy-(meth)acrylates, polyether(meth)acrylates, polyester-(meth)acrylates and

urethane-(meth)acrylates. Typical reactive polymers include addition or condensation polymers such as a styrene or acrylic copolymers containing pendant polymerisable (meth)acrylate groups or unsaturated polyesters. The molecular weight range of the oligomer or reactive polymer may vary from 500-500,000 g/mole. When such reactive  
5 oligomers or polymers are used to provide at least a part of the polyfunctional monomers the amount of polyfunctional material included in the reaction process is normally much greater than when simple monomers are used, due to the rather higher molecular weight of such materials.

The amount of polyfunctional monomer present may be up to 100 wt% of the total initial  
10 monofunctional monomer concentration. Preferably, the amount of polyfunctional monomer present is 0.3 - 25%, e.g. 0.5 - 10% based on monofunctional monomer when the polyfunctional monomer is a simple monomer, i.e. not a reactive oligomer or polymer. When reactive polymers or oligomers are used then the concentration may vary up to about 50% w/w or more if a high molecular weight reactive polymer or oligomer is used.

15 The chain transfer agent may be chosen from a range of thiol compounds including monofunctional and polyfunctional thiols. Monofunctional thiols include, but are not limited to, propyl mercaptan, butyl mercaptan, hexyl mercaptan, octyl mercaptan, dodecyl mercaptan, thioglycollic acid, mercaptopropionic acid, alkyl thioglycollates e.g. 2-ethyl  
20 hexyl thioglycollate or octylthioglycollate, mercaptoethanol, mercaptoundecanoic acid, thiolactic acid, thiobutyric acid. Polyfunctional thiols include trifunctional compounds such as trimethylol propane tris(3-mercaptopropionate), tetrafunctional compounds such as pentaerythritol tetra(3-mercaptopropionate), pentaerythritol tetrathioglycollate, pentaerythritol tetrathiolactate, pentaerythritol tetrathiobutyrate; hexafunctional  
25 compounds such as dipentaerythritol hexa(3-mercaptopropionate), dipentaerythritol hexathioglycollate; octafunctional thiols such as tripentaerythritol octa(3-mercaptopropionate), tripentaerythritol octathioglycollate. The use of polyfunctional thiols is a useful way to increase the degree of branching in the polymer. Optionally, the chain transfer agent may comprise a mixture of more than one type of compound.

30 The amount of chain transfer agent present may be up to 50 wt% of the total initial monofunctional monomer concentration. In a first embodiment, the amount of chain transfer agent present is 0.1 - 20% w/w, e.g. 0.5 - 10%w/w based on monomer. The



branched polymer is made using an appropriate amount of chain transfer agent to prevent the formation of a substantial amount of insoluble cross-linked polymer. The majority of the polymer produced is soluble, even at high conversion of monomer to polymer. A small amount of cross-linked polymer may be formed but the reaction conditions and level of chain transfer agent should preferably be chosen such that the amount of cross-linked polymer formed is <10% (w/w), more preferably <5% (w/w), more preferably <2.5% (w/w) and optimally 0% (w/w). We have found that the use of secondary mercaptans as chain transfer agents leads to a reduction in the level of cross-linked polymer and reduces the formation of microgels in solutions of the resulting branched polymers. Therefore, for certain polymerisation systems, the use of secondary mercaptan chain transfer agents may be preferred. Chain transfer agents comprising secondary mercaptans are particularly preferred when the polymerisation is carried out in bulk or suspension polymerisation processes.

Alternative chain transfer agents may be any species known to reduce molecular weight in the conventional free-radical polymerisation of vinyl monomers. Examples include sulphides, disulphides, halogen-containing species. Also, catalytic chain transfer agents such as cobalt complexes, e.g. cobalt (II) chelates such as cobalt porphyrin compounds are useful chain transfer agents for the invention. Suitable cobalt chelates are known in the art and are described in WO 98/04603. A particularly suitable compound is bis(borondifluorodimethylglyoximate) cobaltate (II) also known as CoBF. Catalytic chain transfer agents may be used in relatively low concentrations compared to conventional thiol chain transfer agents, e.g. <0.5% preferably <0.1% by weight (on monofunctional monomer), since they are generally highly effective at low concentrations. We have surprisingly found that catalytic chain transfer compounds based on cobalt complexes may be very effectively used at concentrations of less than 0.05% (500 ppm) w, e.g. 0.0001 - 0.01%w (1 - 100 ppmw) based on monofunctional monomer in the polymerisation process of the present invention to give soluble branched polymers.

The polymerisation of the monomers may be initiated by any suitable method of generating free-radicals such as by thermally induced decomposition of a thermal initiator such as an azo compound, peroxide or peroxyester. Therefore the polymerisation mixture also preferably contains a polymerisation initiator which may be any of those known and conventionally used in free-radical polymerisation reactions, e.g. azo initiators such as azobis(isobutyronitrile) (AIBN), azobis(2-methylbutyronitrile),

azobis(2,4-dimethylvaleronitrile), azobis(4-cyanovaleric acid), peroxides such as dilauroyl peroxide, tert-butyl peroxyneodecanoate, dibenzoyl peroxide, cumyl peroxide, tert-butyl peroxy-2-ethyl hexanoate, tert-butyl peroxy diethyl acetate and tert-butyl peroxy benzoate.

The branched polymers of the invention are useful as components of a number of surface coatings applications where a diluent is used to apply the surface coating. Applications where the diluent is an organic solvent include paints, clear varnishes, inks and adhesives. The branched polymers are also useful as components in radiation-curable formulations where the diluent is a polymerisable liquid which polymerises in the presence of radiation (such as UV, electron-beam and infra-red). The branched polymers are also useful in coatings applications such as powder coatings and hot-melt adhesives (conventional and radiation-cured) which do not require the use of a diluent. In addition to surface coatings applications, the branched polymers of the invention are useful for the preparation of bulk polymer articles via injection moulding, compression moulding or extrusion moulding. The branched polymers may also be used as constituents of compositions for use in other applications in which acrylic polymers are cured in situ, e.g. in polymer-in-monomer syrups for e.g. reactive flooring, filled moulding compositions for moulding of e.g. kitchen sinks, worktops, acrylic sheets, shower trays, curable cements, photoresists, adhesives (including pressure-sensitive adhesives) etc. The branched copolymer of the invention may be used alone or blended with other polymers in the end-use application.

In a further aspect of the invention we provide a surface coating composition comprising a solution of a branched polymer which comprises residues of a monofunctional monomer and from 0.3 - 100% w/w (of the weight of the monofunctional monomer) of a polyfunctional monomer and from 0.0001 - 50% w/w (of the weight of the monofunctional monomer) of a chain transfer agent and a polymerisation initiator. The surface coating composition typically also may include polymerisable species such as monomers, functionalised oligomers and copolymers and other compounds such as cross-linking species, polymers, curing agents, colourants, solvents, dispersing aids, lubricants, processing aids, fillers, carrier fluids, toughening agents, plasticisers, flexibilisers, stabilisers and other components as appropriate.

In a further aspect of the invention, we provide a polymeric article or coating comprising a branched polymer which comprises monofunctional monomer having one polymerisable

double bond per molecule, from 0.3 - 100% w/w (of the weight of the monofunctional monomer) of a polyfunctional monomer having at least two polymerisable double bonds per molecule, from 0.0001 - 50% w/w (of the weight of the monofunctional monomer) of a chain transfer agent and a polymerisation initiator. The polymeric article or coating may also contain polymerisable species such as monomers, functionalised oligomers and copolymers and other compounds such as cross-linking species, polymers, curing agents, colourants, solvents, dispersing aids, lubricants, processing aids, fillers, carrier fluids and toughening agents, plasticisers, flexibilisers, stabilisers and other components or residues thereof.

- 10 The weight average molecular weight (Mw) of the branched polymer is preferably in the range 2,000 - 500,000. For certain applications, e.g. where dissolution of the branched polymer is required, a lower molecular weight, e.g. in the range 2,000 - 200,000 may be preferred.

The invention will now be further described with reference to the following Examples. In all examples, MFM refers to monofunctional monomer, PFM to polyfunctional monomer and CTA to chain transfer agent. The quantities of materials used in the polymerisations are calculated as w/w with respect to the total concentration of monofunctional monomer. The weights of polyfunctional monomer, chain transfer agent and initiator used, described as a weight % is calculated as a percentage of the weight of total monofunctional monomer. For example, for a polymerisation of MFM involving 3%PFM and 4%CTA, 3g of PFM and 4g of CTA would be added to 100g of MFM.

#### Preparation of Polymers by Suspension Polymerisation

Polymers were prepared by suspension polymerisation of a monomer mixture containing monofunctional and polyfunctional monomers in the presence of the chain transfer agent, e.g. dodecyl mercaptan (DDM), a dispersant (hydroxy ethyl cellulose, 1-2% by weight on monomer) and a free-radical initiator (AIBN, 1% by weight on monomer) in deionised water. In a typical preparation, 2000ml deionised water and about 4g hydroxy ethyl cellulose (HEC) were added to a 5000ml baffled flask. Nitrogen was purged through the water for 30 minutes to remove dissolved oxygen and the flask was agitated with a stainless steel stirrer set at 1400rpm. The CTA was dissolved into the monomer mixture (500 g of the MFM mixed with the required amount of PFM), and then added to the reaction flask followed by the AIBN. The reaction flask was heated at full power to 75 °C.

The reaction was allowed to proceed until the exotherm began to subside. The maximum polymerisation temperature was typically 90°C. The flask was left to heat treat for 1 hr.

The flask and contents were cooled with air to 40 °C and the contents were dewatered by centrifuging. The polymers were dried in either an oven at 40 °C or in a fluidised bed

5 dryer.

#### Preparation of Polymers by Solution Polymerisation

Polymers were made by solution polymerisation by dissolving MFM in toluene (33% w/w), adding the chosen concentration of polyfunctional monomer (PFM) and chain transfer agent (CTA) and initiating polymerisation using AIBN (1% by weight based on monomer).

- 10 Polymerisations were performed at 80 °C in an oil bath under nitrogen using a condenser. After 7 hours polymerisations were terminated by cooling. The resulting polymers were isolated by precipitation in hexane and dried.

#### Characterisation by GPC method 1

- 15 The molecular weight was measured by Gel Permeation Chromatography using mixed gel columns and narrow molecular weight PMMA standards for calibration. Chloroform was used as the mobile phase with a flow rate of 1 ml/min and an infra-red detector. The weight average molecular weight ( $M_w$ ), the number average molecular weight ( $M_n$ ) and the polydispersity ( $M_w/M_n$ ) were determined.

#### Characterisation by GPC method 2

- 20 Polymers were characterised using Triple Detector GPC (TDGPC). Chain branching significantly alters the molecular size to molecular weight relationship. The triple detector enhancement to GPC enables the measurement of molecular size concurrently with molecular weight without recourse to a conventional calibration. Initially, the relationship is standardised for a linear control polymer and this becomes the starting point for all
- 25 subsequent branching calculations. Comparison of the data from the branched polymer with that of the linear control enables detailed determination of the branching variation as a function of molecular weight. The instrument used in this study was by Viscotek and incorporates a Laser Differential Refractometer, a Differential Viscometer, and a Right Angle Laser Light Scattering photometer. The data acquisition and reduction software was
- 30 Trisec Version 3, also by Viscotek. A styrene-divinyl benzene, mixed bed column from Polymer Standards Service (PSS) was used with chloroform as the eluent at a flow rate of 1.0 ml/min. In addition to molecular weight information, GPC method 2 determines  $g'$ ,

alpha, log K and Bn, where Bn is the average number of branches per molecule. Bn=0 for a linear polymer and Bn>0 for a branched polymer; g' is the Zimm branching factor equivalent to the ratio of mean square radius of gyration of the (branched) material to that of linear material of the same molecular weight (g'=1.0 for a linear polymer and g'<1.0 for a branched polymer). Alpha and logK are determined from the relationship between viscosity and molecular weight from the Mark-Houwink equation,  $\eta = KM^\alpha$ ,  $\log [\eta] = \alpha \log M + \log K$ .

#### Determination of solution viscosities

The viscosity of a 30% (w/w) solution of the polymer in toluene was measured using a Brookfield Viscometer at a temperature of 25°C using an LV2 spindle.

#### Examples 1-4

The polymerisation was carried out by suspension polymerisation using a monomer mixture of methyl methacrylate (MMA) as MFM and tripropylene glycol diacrylate (TPGDA) as PFM, yielding a branched polymer according to the invention. The amount of TPGDA used and the properties of the resulting polymer (characterised by GPC method) are shown in Table 1.

#### Examples 5-9 (comparative)

The polymer was made as described above but using only MMA as the monomer. The resulting polymer was essentially linear. For a linear polymer Bn = 0, g'=1.0,  $\alpha = 0.68$  and  $\log K = -3.65$

Table 1

Examp.	DDM (wt %)	TPGDA (wt %)	solty in toluene	Brookfield viscosity (cP)	Mn (g.mol <sup>-1</sup> )	Mw (g.mol <sup>-1</sup> )	Mw /Mn	Bn	g'	$\alpha$	logK
1	4	0.75	good	19	5,190	12,600	2.4	0.92	0.87	0.59	-3.31
2	4	1.5	good	22	2,670	14,300	5.4	0.18	0.97	0.51	-2.92
3	4	3	good	34	1,370	56,100	41	3.32	0.85	0.36	-2.25
4	4	3.5	mod	46	5,850	150,100	25.7	7.6	0.62	0.5	-3.04
5	0.1	0	good	2,164	60,760	157,700	2.6				
6	0.2	0	good	716	36,690	81,900	2.2				
7	0.3	0	good	185	30,890	58,800	1.9				
8	1	0	good	71	20,690	39,700	1.9	0	1.0	0.68	-3.65
9	2	0	good	31	10,380	19,500	1.9				

Examples 10-12 (comparative)

The polymerisation was carried out using a monomer mixture of MMA and 1, 2 and 3% w/w TPGDA in the absence of chain transfer agent. The resulting polymers were insoluble, demonstrating that a crosslinked polymer results in the absence of chain transfer agent, even at relatively low levels of polyfunctional monomer.

Examples 13-20

Polymers were made in the same way as Examples 1 - 4 using different relative amounts of TPGDA and DDM. The properties are shown in Table 2.

Table 2

Ex	DDM (wt %)	TPGDA (wt %)	solty in toluene	Brookfield viscosity (cP)	Mn (g.mol <sup>-1</sup> )	Mw (g.mol <sup>-1</sup> )	Mw /Mn	Bn	g'	$\alpha$	logK
13	1	0.25	good	85	16,700	40,200	2.4	0.17	0.97	0.69	-3.68
14	1	0.5	good	123	17,700	66,300	3.8	1.25	0.83	0.63	-3.47
15	1	0.75	good	140	16,800	131,400	7.8	2.68	0.72	0.59	-3.32
16	1	1	good	336	10,300	488,600	47.4	5.99	0.73	0.44	-2.55
17	2	0.5	good	39	7,780	22,200	2.9	0.15	0.98	0.63	-3.42
18	2	1	good	50	13,700	35,100	2.6	1.42	0.8	0.61	-3.41
19	2	1.5	good	75	11,500	80,100	7	3.21	0.72	0.48	-2.86
20	2	2	good	200	5,820	338,500	58.2	9.95	0.63	0.44	-2.67

- 10 The measured properties show that the polymers are branched and have a wide variety of molecular weights. Comparison with Examples 5 - 8 show that these polymers produce a lower solution viscosity than linear polymers with similar Mw.

Examples 21 - 26

- Polymers were made by solution polymerisation as described above using different polyfunctional monomers (PFM). The polymers were characterised by GPC method 1. The following polyfunctional monomers were used:

- TPGDA is tripropylene glycol diacrylate  
 TMPTA is trimethylol propane triacrylate  
 PETA is pentaerythritol tetraacrylate  
 20 DPEHA is dipentaerythritol hexaacrylate  
 EGDMA is ethylene glycol dimethacrylate

The results show that soluble, branched polymers were obtained using monomers of different functionality.

#### Examples 27 - 30

Polymers were made by solution polymerisation using the following different chain transfer

5 agents (CTA):

TRIMP is trimethylol propane tris(3-mercaptopropionate)

PETMP is pentaerythritol tetramercaptopropionate

DPEHTG is dipentaerythritol hexathioglycollate

TPEOTG is tripentaerythritol octathioglycollate

- 10 The polymers were characterised by GPC method 1 and the results are shown in Table 3. The results show that chain transfer agents with a varying plurality of thiol groups can be used with a polyfunctional monomer to make soluble branched polymers.

#### Examples 31 - 33

The polymers were made by solution polymerisation using the polyfunctional monomers

- 15 (PFM) and polyfunctional chain transfer agents shown in Table 3. The results (by GPC method 1) show that various combinations of polyfunctional monomers and polyfunctional chain transfer agents, both with a varying plurality of acrylate or thiol can be used to make soluble, branched polymers

#### Examples 34 - 36

- 20 These polymers were made by solution polymerisation using DDM and TPGDA and analysed by GPC method 1. The results are shown in Table 3.

Table 3

Example	CTA type	CTA (wt %)	PFM type	PFM (wt %)	solubility in toluene	Mn (g.mol <sup>-1</sup> )	Mw (g.mol <sup>-1</sup> )	Mw/Mn
21	DDM	2	TPGDA	1.5	good	6,750	18,020	2.67
22	DDM	2	TMPTA	1.48	good	7,190	26,510	3.89
23	DDM	2	PETA	1.76	good	9,080	65,504	7.22
24	DDM	2	DPEHA	2.89	good	9,500	200,432	21.11
25	DDM	2	EGDMA	1	good	7,850	26,638	3.39
26	DDM	2	EGDMA	2	good	10,034	99,712	9.93
27	TRIMP	3.98	TPGDA	1.5	good	6,530	14,780	2.26
28	PETMP	4.88	TPGDA	1.5	good	5,990	13,120	2.19
29	DPEHTG	6.98	TPGDA	1.5	good	5,700	13,180	2.31
30	TPEOTG	9.64	TPGDA	1.5	good	6,480	14,020	2.16
31	TRIMP	3.98	TMPTA	1.48	good	6,850	22,460	3.28
32	PETMP	4.88	PETA	1.76	good	6,350	24,980	3.94
33	DPETHG	6.98	DPEHA	2.89	good	8,010	53,470	6.67
34	DDM	2	TPGDA	3	good	8,380	27,330	3.26
35	DDM	2	TPGDA	4.5	good	8,400	46,040	5.48
36	DDM	2	TPGDA	6	good	9,530	103,320	10.84

Examples 37 - 39

Branched polymers were made according to the invention using CoBF, a catalytic chain transfer agent. The MFM was MMA. The resulting branched polymers were soluble in 5 toluene without microgel formation. The molecular weights were determined by GPC method 1.

Table 4

Example	TPGDA wt %	COBF (ppm)	AIBN (wt %)	polymerisation method	Mn (g/mole)	Mw (g/mole)
37	1.5	10	1	solution	24,388	90,416
38	1.5	20	1	solution	12,220	46,070
39	2	75	1	suspension	5,200	28,400

Polymerisations similar to those in examples 37 and 38 were also performed with 5 and 2.5 ppm CoBF resulting in viscous polymer solutions without microgel formation.

10 Examples 40 - 53

The polymerisations were carried out using MMA as monofunctional monomer with acrylate-functionalised oligomers as PFM of different types in varying quantities, as shown



below in Table 5. The resulting polymers were all soluble. Molecular weights were determined by GPC method 1.

Ebecryl™ 4858 is an aliphatic urethane-acrylate oligomer with 2 acrylate functional groups and a molecular weight of 450 g/mole, supplied by UCB Chemicals,

- 5 Ebecryl™ 210 is an aromatic urethane-acrylate oligomer with 2 acrylate functional groups and a molecular weight of 1500 g/mole, supplied by UCB Chemicals.

Ebecryl™ 230 is an aliphatic urethane-acrylate oligomer with 2 acrylate functional groups and a molecular weight of 5000 g/mole, supplied by UCB Chemicals.

- 10 Ebecryl™ 605 is an epoxy-acrylate oligomer with 2 acrylate functional groups per molecule and a molecular weight of 500 g/mole, supplied by UCB Chemicals.

Ebecryl™ 81 is a polyester-acrylate oligomers with an average of 2.5 acrylate functional groups per molecule and a molecular weight of 600g/mole, supplied by UCB Chemicals.

Ebecryl™ 80 is a polyether-acrylate oligomer with 4 acrylate functional groups per molecule and a molecular weight of 1000 g/mole, supplied by UCB Chemicals.

15

Table 5

Example	Oligomer	Oligomer (wt %)	DDM (wt %)	AIBN (wt %)	method*	Mn (g/mole)	Mw (g/mole)
40	Ebecryl 605	2.5	2	1	sol	8,613	28,384
41	Ebecryl 80	5	2	1	sol	5,706	20,165
42	Ebecryl 81	3	2	1	sol	6,279	18,012
43	Ebecryl 4858	2.25	2	1	sol	8,405	28,257
44	Ebecryl 4858	4.5	2	1	sol	8,530	56,716
45	Ebecryl 4858	6.75	2	1	sol	12,165	437,690
46	Ebecryl 210	7.5	2	1	sol	9,043	32,846
47	Ebecryl 210	10	2	1	sol	8,813	42,930
48	Ebecryl 210	12	2	1	sol	10,033	52,594
49	Ebecryl 230	25	2	1	sol	8,270	31,125
50	Ebecryl 230	30	2	1	sol	10,475	76,464
51	Ebecryl 230	40	2	1	sol	12,081	44,177
52	Ebecryl 230	18	3.5	1.2	sus	6,400	22,600
53	Ebecryl 230	43	8.6	1.4	sus	3,500	13,300

\* sol = solution polymerisation, sus = suspension polymerisation

#### Examples 54- 57

These polymerisations were conducted using a mixture of more than one monofunctional monomer (MFM) and TPGDA as PFM. Molecular weights were determined by GPC

- 20 method 1.

Table 6

Example	MFM	MFM ratio	TPGDA (wt %)	DDM (wt %)	AIBN (wt %)	method*	Mn (g/mole)	Mw (g/mole)
54	MMA+IBMA	50:50	5	2	1	sol		
55	MMA+BMA+MA	65:30:5	3	4	1	sus	5,950	33,750
56	MMA+BMA+MA	65:30:5	6	8	1	sus	3,700	17,150
57	MMA+BMA	75:25	3	4	1	sus	6,300	32,000

MMA is methyl methacrylate, BMA is n-butyl methacrylate, IBMA is isobornyl methacrylate, MA is methacrylic acid.

#### Examples 58 - 61

- 5 Branched polymers were made by suspension polymerisation using a monofunctional monomer mixture of 60% BMA, 39% MMA and 1% MA with PETA as PFM at 0.5% based on total MFM 1.2 wt % AIBN initiator and 0.5 wt % Versicol™ S19 suspension stabiliser. Thiolactic acid (TLA) chain transfer agent was used with or instead of other mercaptans. We observed that for those mixtures in which microgels tended to form, this tendency was
- 10 greatly reduced or eradicated when TLA, a secondary mercaptan, was present. Molecular weights were determined by GPC method 1.

Table 7

Example	PETA (wt%)	PETMP (wt%)	DDM (wt%)	TLA (wt%)	Mn (g/mole)	Mw (g/mole)	microgel	viscosity (cP)
58	0.5	0	2	0	9,830	22,800	minor	134.1
59	0.5	0	0	2	12,850	45,670	none	387.5
60	0.5	0	0	1	24,762	159,212	none	1,665
61	0.5	0	1.5	0.25	25,440	57,430	none	190
62	1	6	0	0.5	9,100	26,400	none	62
63	1	6	0	1	7,050	22,250	none	62

Claims

1. A method of preparing a branched polymer comprising mixing together a monofunctional monomer having one polymerisable double bond per molecule with from 0.3 - 100% w/w (of the weight of the monofunctional monomer) of a polyfunctional  
5 monomer having at least two polymerisable double bonds per molecule and from 0.0001 - 50% w/w (of the weight of the monofunctional monomer) of a chain transfer agent and optionally a free-radical polymerisation initiator and thereafter reacting said mixture to form a polymer.
2. A method as claimed in claim 1, wherein the monofunctional monomer is selected from  
10 methacrylates and acrylates, styrene and derivatives thereof, vinyl acetate, maleic anhydride, itaconic acid, N-alkyl (aryl) maleimides and N-vinyl pyrrolidone.
3. A method as claimed in claim 2, wherein said monofunctional monomer comprises at least one of methyl methacrylate, butyl methacrylate and/or methacrylic acid.
4. A method as claimed in any preceding claim, wherein said monofunctional monomer  
15 comprises a mixture of more than one such monomer.
5. A method as claimed in any preceding claim, wherein the polyfunctional monomer selected from bi-functional (meth)acrylates, tri-functional (meth)acrylates, tetra-functional (meth)acrylates, penta-functional (meth)acrylates, hexa-functional (meth)acrylates, oligomers or polymers having more than one polymerisable vinylic group, and mixtures  
20 thereof.
6. A method as claimed in any preceding claim, wherein the chain transfer agent is selected from monofunctional and polyfunctional thiols.
7. A method as claimed in claim 6 wherein the chain transfer agent comprises a secondary mercaptan.
- 25 8. A method as claimed in any of claims 1 - 5, wherein the chain transfer agent comprises a catalytic chain transfer agent.

9. A method as claimed in claim 8, wherein said catalytic chain transfer agent is present at a concentration of 1 - 500 ppmw, based on monofunctional monomer weight.
10. A method as claimed in any of the preceding claims wherein the polymerisation reaction is carried out by suspension polymerisation.
- 5 11. A method as claimed in claim 10 comprising
- (i) mixing together a monofunctional monomer having one polymerisable double bond per molecule with from 0.3 - 100% w/w (of the weight of the monofunctional monomer) of a polyfunctional monomer having at least two polymerisable double bonds per molecule and from 0.0001 - 50% w/w (of the weight of the monofunctional monomer) of a chain transfer
- 10 agent;
- (ii) dispersing the resulting mixture as a discontinuous phase in a continuous phase in which the monomers are relatively insoluble in the presence of a dispersing agent which is capable of maintaining the mixture of monomers as a discontinuous phase in the continuous phase;
- 15 (iii) initiating polymerisation of the monomer mixture;
- (iii) maintaining the dispersion of monomer in continuous phase at a reaction temperature for sufficient time to enable the monomers to react to form a polymer; and
- iv) subsequently separating the dispersed phase containing the polymer from the continuous phase.
- 20 12. A branched polymer comprising:
- 99.8% - 50% (based on polymer) residues of a monofunctional vinylic monomer,  
0.3 - 50% w/w residues of a polyfunctional vinylic monomer and  
0.0001 - 50% w/w residues of a chain transfer agent.
13. A branched polymer made by the method claimed in any of claims 1 - 11.
- 25 14. A coating composition containing a polymer as claimed in any of claims 12 - 13 and optionally further ingredients selected from monomers, functionalised oligomers and copolymers, cross-linking species, polymers, curing agents, colourants, solvents, dispersing aids, lubricants, processing aids, fillers, carrier fluids, toughening agents, plasticisers, flexibilisers, perfumes and stabilisers.

15. A moulded polymer article containing a polymer as claimed in either of claims 12 or 13.

16. Use of a polymer as claimed in either claim 12 or 13 as a constituent of a coating formulation, photoresist, moulding composition, curable polymer in monomer syrup, .  
5 moulded kitchen sink, worktop, acrylic sheet, shower tray, curable cement or adhesive.

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 C08F2/38 C08F220/12 C09D157/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 C08F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 718 326 A (RÖHM GMBH) 26 June 1996 see claim 1	1-16
A	GB 2 294 467 A (SUMITOMO CHEM. CO. LTD.) 1 May 1996 cited in the application	

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents :

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